



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Organization Exempt From Income Tax

2000

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Under section 501(c) of the Internal Revenue Code (except black lung benefit trust or private foundation), section 527, or section 4947(a)(1) nonexempt charitable trust

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

A For the 2000 calendar year, or tax year period beginning July 1, 2000, and ending June 30, 2001

B Check if applicable:
 Change of address
 Change of name
 Initial return
 Final return
 Amended return

Please use IRS label or print or type. See Specific Instructions.

C Name of organization: OCLC Online Computer Library Center Inc
 Number and street (or PO box if mail is not delivered to street address): 6565 Frantz Road
 Room/suite: _____
 City or town, state or country, and ZIP code: Dublin OH 43017-3395

D Employer identification number: 31-0734115
E Telephone number: (614)764-6000
F Check if application pending

G Organization type (check only one) ▶ 501(c)(3) ◀ (insert no) 527 or 4947(a)(1)
 • Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ)

J Accounting method Cash Accrual Other (specify) ▶ _____

K Check here if the organization's gross receipts are normally not more than \$25,000. The organization need not file a return with the IRS, but if the organization received a Form 990 Package in the mail, it should file a return without financial data. Some states require a complete return.

Note H and I are not applicable to section 527 orgs.
H(a) Is this a group return for affiliates? Yes No
H(b) If "Yes," enter number of affiliates ▶ _____
H(c) Are all affiliates included? Yes No (If "No," attach a list. See inst.)
H(d) Is this a separate return filed by an organization covered by a group ruling? Yes No
I Enter 4-digit group exemption no. (GEN) ▶ _____
L Check this box if the organization is not required to attach Schedule B (Form 990 or 990-EZ) ▶

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See Specific Instructions on page 16)

| | | | | | |
|---------|---|--|----------------|-------------|-------------|
| Revenue | 1 | Contributions, gifts, grants, and similar amounts received | | | |
| | a | Direct public support | 1a | | |
| | b | Indirect public support | 1b | | |
| | c | Government contributions (grants) | 1c | 11,850 | |
| | d | Total (add lines 1a through 1c) (cash \$ <u>11,850</u> noncash \$ _____) | 1d | | 11,850 |
| | 2 | Program service revenue including government fees and contracts (from Part VII, line 93) | 2 | | 135,633,431 |
| | 3 | Membership dues and assessments | 3 | | |
| | 4 | Interest on savings and temporary cash investments | 4 | | 1,635,569 |
| | 5 | Dividends and interest from securities | 5 | | 2,661,002 |
| | 6a | Gross rents | 6a | | |
| | b | Less rental expenses | 6b | | |
| | c | Net rental income or (loss) (subtract line 6b from line 6a) | 6c | | |
| | 7 | Other investment income (describe ▶ _____) | 7 | | |
| | 8a | Gross amount from sales of assets other than inventory | (A) Securities | 8a | 90,000 |
| | b | Less cost or other basis and sales expenses | 8b | 20,374 | |
| | c | Gain or (loss) (attach schedule) | 8c | 69,626 | |
| | d | Net gain or (loss) (combine line 8c, columns (A) and (B)) | 8d | 607,581 | |
| | 9 | Special events and activities (attach schedule) | | | |
| | a | Gross revenue (not including \$ _____ of contributions reported on line 1a) | 9a | | |
| | b | Less direct expenses other than fundraising expenses | 9b | | |
| | c | Net income or (loss) from special events (subtract line 9b from line 9a) | 9c | | |
| 10a | Gross sales of inventory, less returns and allowances | 10a | 10,846,812 | | |
| b | Less cost of goods sold | 10b | 5,333,273 | | |
| c | Gross profit (loss) from sales of inventory (attach schedule) (subtract line 10b from line 10a) | 10c | | 5,513,539 | |
| | Other revenue (from Part VII, line 103) | 11 | | 556,932 | |
| 12 | Total revenue (add lines 1d, 2, 3, 4, 5, 6c, 7, 8d, 9c, 10c, and 11) | 12 | | 146,619,904 | |
| 13 | Program services (from line 44, column (B)) | 13 | | 141,898,111 | |
| 14 | Management and general (from line 44, column (C)) | 14 | | | |
| 15 | Fundraising (from line 44, column (D)) | 15 | | | |
| 16 | Payments to affiliates (attach schedule) | 16 | | | |
| 17 | Total expenses (add lines 16 and 44, column (A)) | 17 | | 141,898,111 | |
| 18 | Excess or (deficit) for the year (subtract line 17 from line 12) | 18 | | 4,721,793 | |
| 19 | Net assets or fund balances at beginning of year (from line 73, column (A)) | 19 | | 125,929,483 | |
| 20 | Other changes in net assets or fund balances (attach explanation) Statement 3 | 20 | | (6,605,219) | |
| 21 | Net assets or fund balances at end of year (combine lines 18, 19, and 20) | 21 | | 124,046,057 | |

SCANNED JUN 24 2002

RECEIVED
 MAY 23 2002
 CG DEN 11

6

Part II Statement of Functional Expenses

All organizations must complete column (A). Columns (B), (C) and (D) are required for section 501(c)(3) and (4) organizations and section 4947(a)(1) nonexempt charitable trusts but optional for others. (See Specific Instructions on page 20)

| Do not include amounts reported on line 6b, 8b, 9b, 10b, or 16 of Part I | | (A) Total | (B) Program services | (C) Management and general | (D) Fundraising |
|--|--|----------------|----------------------|----------------------------|-----------------|
| 22 | Grants and allocations (attach schedule) (cash \$ _____ noncash \$ <u>Statement 4</u>) | 22 311,443 | 311,443 | | |
| 23 | Specific assistance to individuals (attach schedule) | 23 | | | |
| 24 | Benefits paid to or for members (attach schedule) | 24 | | | |
| 25 | Compensation of officers, directors, etc | 25 2,317,833 | 2,317,833 | | |
| 26 | Other salaries and wages | 26 56,158,626 | 56,158,626 | | |
| 27 | Pension plan contributions | 27 3,362,900 | 3,362,900 | | |
| 28 | Other employee benefits | 28 7,578,580 | 7,578,580 | | |
| 29 | Payroll taxes | 29 4,055,863 | 4,055,863 | | |
| 30 | Professional fundraising fees | 30 | | | |
| 31 | Accounting fees | 31 258,188 | 258,188 | | |
| 32 | Legal fees | 32 176,925 | 176,925 | | |
| 33 | Supplies | 33 863,938 | 863,938 | | |
| 34 | Telephone | 34 890,928 | 890,928 | | |
| 35 | Postage and shipping | 35 915,672 | 915,672 | | |
| 36 | Occupancy | 36 3,854,145 | 3,854,145 | | |
| 37 | Equipment rental and maintenance | 37 5,095,761 | 5,095,761 | | |
| 38 | Printing and publications | 38 953,725 | 953,725 | | |
| 39 | Travel | 39 4,065,035 | 4,065,035 | | |
| 40 | Conferences, conventions, and meetings | 40 1,121,861 | 1,121,861 | | |
| 41 | Interest | 41 2,866,429 | 2,866,429 | | |
| 42 | Depreciation, depletion, etc (attach schedule) | 42 11,907,133 | 11,907,133 | | |
| 43 | Other expenses (itemize) a _____ | 43a | | | |
| | b <u>Statement 6</u> | 43b 35,143,126 | 35,143,126 | | |
| | c _____ | 43c | | | |
| | d _____ | 43d | | | |
| | e _____ | 43e | | | |
| 44 | Total functional expenses (add lines 22 through 43) Organizations completing columns (B) - (D), carry these totals to lines 13 - 15. | 44 141,898,111 | 141,898,111 | | |

Reporting of Joint Costs. Did you report in column (B) (Program services) any joint costs from a combined educational campaign and fundraising solicitation? Yes No
 If "Yes," enter (i) the aggregate amount of these joint costs \$ _____, (ii) the amount allocated to Program services \$ _____, (iii) the amount allocated to Management and general \$ _____, and (iv) the amount allocated to Fundraising \$ _____.

Part III Statement of Program Service Accomplishments (See Specific Instructions on page 23)

| What is the organization's primary exempt purpose? <input checked="" type="checkbox"/> See Statement 7 | | Program Service Expenses |
|---|---|--|
| All organizations must describe their exempt purpose achievements in a clear and concise manner. State the number of clients served, publications issued, etc. Discuss achievements that are not measurable. (Section 501(c)(3) and (4) organizations and 4947(a)(1) nonexempt charitable trusts must also enter the amount of grants and allocations to others.) | | (Required for 501(c)(3) and (4) orgs., and 4947(a)(1) trusts but optional for others.) |
| a | <u>Statement 7</u> ----- (Grants and allocations \$ _____) | 141,898,111 |
| b | ----- (Grants and allocations \$ _____) | |
| c | ----- (Grants and allocations \$ _____) | |
| d | ----- (Grants and allocations \$ _____) | |
| e | Other program services (attach schedule) (Grants and allocations \$ _____) | |
| f | Total of Program Service Expenses (should equal line 44, column (B), Program services) | 141,898,111 |

Part IV Balance Sheets (See Specific Instructions on page 23)

| Note | | Where required, attached schedules and amounts within the description column should be for end-of-year amounts only | | (A) Beginning of year | | (B) End of year | |
|------------------------------------|--|---|---|---|-------------|--------------------|------------|
| Assets | 45 | Cash — non-interest-bearing | | | 45 | | |
| | 46 | Savings and temporary cash investments | | 52,165,169 | 46 | 11,211,581 | |
| | 47a | 47a | Accounts receivable | 30,354,328 | | | |
| | | 47b | b Less allowance for doubtful accounts | | 27,014,473 | 47c | 30,354,328 |
| | 48a | 48a | Pledges receivable | | | | |
| | | 48b | b Less allowance for doubtful accounts | | | 48c | |
| | 49 | Grants receivable | | | 49 | | |
| | 50 | Receivables from officers, directors, trustees, and key employees (attach schedule) | | | 50 | | |
| | 51a | 51a | Other notes and loans receivable (attach schedule) | | | | |
| | | 51b | b Less allowance for doubtful accounts | | | 51c | |
| | 52 | Inventories for sale or use | | 1,618,025 | 52 | 2,111,931 | |
| | 53 | Prepaid expenses and deferred charges | | 4,233,836 | 53 | 5,189,118 | |
| | 54 | Investments — securities (attach schedule) | | 51,426,159 | 54 | 96,635,915 | |
| | | | | | Statement 8 | | |
| | | | | ▶ <input type="checkbox"/> Cost <input checked="" type="checkbox"/> FMV | | | |
| 55a | 55a | Investments — land, buildings, and equipment basis | | | | | |
| | 55b | b Less accumulated depreciation (attach schedule) | | | 55c | | |
| 56 | Investments — other (attach schedule) | | | 56 | | | |
| 57a | 57a | Land, buildings, and equipment basis | 149,633,299 | | | | |
| | 57b | b Less accumulated depreciation (attach schedule) | 75,283,227 | 72,077,065 | 57c | 74,350,072 | |
| | | | | Statement 9 | | | |
| 58 | Other assets (describe ▶ Statement 10) | | 28,611,804 | 58 | 21,611,411 | | |
| 59 | Total assets (add lines 45 through 58) (must equal line 74) | | 237,146,531 | 59 | 241,464,356 | | |
| Liabilities | 60 | Accounts payable and accrued expenses | | 11,634,917 | 60 | 16,523,445 | |
| | 61 | Grants payable | | | 61 | | |
| | 62 | Deferred revenue | | | 62 | | |
| | 63 | Loans from officers, directors, trustees, and key employees (attach schedule) | | | 63 | | |
| | 64a | Tax-exempt bond liabilities (attach schedule) Statement 11 | | 54,835,000 | 64a | 52,605,000 | |
| | | 64b | b Mortgages and other notes payable (attach schedule) | | 64b | | |
| | 65 | Other liabilities (describe ▶ Statement 10) | | 44,747,131 | 65 | 48,289,854 | |
| 66 | Total liabilities (add lines 60 through 65) | | 111,217,048 | 66 | 117,418,299 | | |
| Net Assets or Fund Balances | Organizations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 67 through 69 and lines 73 and 74 | | | | | | |
| | 67 | Unrestricted | | | 67 | | |
| | 68 | Temporarily restricted | | | 68 | | |
| | 69 | Permanently restricted | | | 69 | | |
| | Organizations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 70 through 74 | | | | | | |
| | 70 | Capital stock, trust principal, or current funds | | | 70 | | |
| | 71 | Paid-in or capital surplus, or land, building, and equipment fund | | | 71 | | |
| | 72 | Retained earnings, endowment, accumulated income, or other funds | | 125,929,483 | 72 | 124,046,057 | |
| | 73 | Total net assets or fund balances (add lines 67 through 69 OR lines 70 through 72, column (A) must equal line 19 and column (B) must equal line 21) | | 125,929,483 | 73 | 124,046,057 | |
| 74 | Total liabilities and net assets/fund balances (add lines 66 and 73) | | 237,146,531 | 74 | 241,464,356 | | |

Form 990 is available for public inspection and, for some people, serves as the primary or sole source of information about a particular organization. How the public perceives an organization in such cases may be determined by the information presented on its return. Therefore, please make sure the return is complete and accurate and fully describes, in Part III, the organization's programs and accomplishments.

| Part VI Other Information (See Specific Instructions on page 26) | | N/A | Yes | No |
|--|--|-----|-----|-------|
| 76 | Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity | 76 | | X |
| 77 | Were any changes made in the organizing or governing documents but not reported to the IRS? If "Yes," attach a conformed copy of the changes | 77 | | X |
| 78a | Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return? | 78a | X | |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 78b | X | |
| 79 | Was there a liquidation, dissolution, termination, or substantial contraction during the year? If "Yes," attach a statement | 79 | | X |
| 80a | Is the organization related (other than by association with a statewide or nationwide organization) through common membership, governing bodies, trustees, officers, etc., to any other exempt or nonexempt organization? | 80a | | X |
| b | If "Yes," enter the name of the organization _____ and check whether it is <input type="checkbox"/> exempt OR <input type="checkbox"/> nonexempt | | | |
| 81a | Enter the amount of political expenditures, direct or indirect, as described in the instructions for line 81 | 81a | | 0 |
| b | Did the organization file Form 1120-POL for this year? | 81b | | X |
| 82a | Did the organization receive donated services or the use of materials, equipment, or facilities at no charge or at substantially less than fair rental value? | 82a | | X |
| b | If "Yes," you may indicate the value of these items here. Do not include this amount as revenue in Part I or as an expense in Part II (See instructions for reporting in Part III) | 82b | | N/A |
| 83a | Did the organization comply with the public inspection requirements for returns and exemption applications? | 83a | X | |
| b | Did the organization comply with the disclosure requirements relating to quid pro quo contributions? | 83b | X | |
| 84a | Did the organization solicit any contributions or gifts that were not tax deductible? | 84a | | X |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | 84b | N/A | |
| 85 | 501(c)(4), (5), or (6) organizations a Were substantially all dues nondeductible by members? | 85a | N/A | |
| b | Did the organization make only in-house lobbying expenditures of \$2,000 or less? If "Yes," was answered to either 85a or 85b, do not complete 85c through 85h below unless the organization received a waiver for proxy tax owed for the prior year | 85b | N/A | |
| c | Dues, assessments, and similar amounts from members | 85c | | N/A |
| d | Section 162(e) lobbying and political expenditures | 85d | | N/A |
| e | Aggregate nondeductible amount of section 6033(e)(1)(A) dues notices | 85e | | N/A |
| f | Taxable amount of lobbying and political expenditures (line 85d less 85e) | 85f | | N/A |
| g | Does the organization elect to pay the section 6033(e) tax on the amount in 85f? | 85g | | N/A |
| h | If section 6033(e)(1)(A) dues notices were sent, does the organization agree to add the amount in 85f to its reasonable estimate of dues allocable to nondeductible lobbying and political expenditures for the following tax year? | 85h | | N/A |
| 86 | 501(c)(7) orgs Enter a Initiation fees and capital contributions included on line 12 | 86a | | N/A |
| b | Gross receipts, included on line 12, for public use of club facilities | 86b | | N/A |
| 87 | 501(c)(12) orgs Enter a Gross income from members or shareholders | 87a | | N/A |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) | 87b | | N/A |
| 88 | At any time during the year, did the organization own a 50% or greater interest in a taxable corporation or partnership, or an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Part IX | 88 | X | |
| 89a | 501(c)(3) organizations Enter Amount of tax imposed on the organization during the year under section 4911 ▶ <u>None</u> ; section 4912 ▶ <u>None</u> , section 4955 ▶ <u>None</u> | | | |
| b | 501(c)(3) and 501(c)(4) orgs Did the organization engage in any section 4958 excess benefit transaction during the year or did it become aware of an excess benefit transaction from a prior year? If "Yes," attach a statement explaining each transaction | 89b | | X |
| c | Enter Amount of tax imposed on the organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ <u>None</u> | | | |
| d | Enter Amount of tax on line 89c, above, reimbursed by the organization ▶ <u>None</u> | | | |
| 90a | List the states with which a copy of this return is filed ▶ <u>CA, DC, IN, OH, OR</u> | | | |
| b | Number of employees employed in the pay period that includes March 12, 2000 (See inst) | 90b | | 1,133 |
| 91 | The books are in care of ▶ <u>Rick J. Schwieterman</u> Telephone no ▶ <u>(614)764-6000</u> Located at ▶ <u>6565 Frantz Road, Dublin, Ohio</u> ZIP code ▶ <u>43017-3395</u> | | | |
| 92 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990 in lieu of Form 1041 — Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the tax year ▶ <u>92</u> | | | |

Part VII Analysis of Income-Producing Activities (See Specific Instructions on page 30)

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (E) Related or exempt function income |
|--|---------------------------|---------------|--------------------------------------|---------------|--|
| | (A) Business code | (B) Amount | (C) Exclusion code | (D) Amount | |
| 93 Program service revenue | | | | | |
| a Statement 14 | | | | | 135,633,431 |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f Medicare/Medicaid payments | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 94 Membership dues and assessments | | | | | |
| 95 Interest on savings and temporary cash investments | | | 14 | 1,635,569 | |
| 96 Dividends and interest from securities | | | 14 | 2,661,002 | |
| 97 Net rental income or (loss) from real estate | | | | | |
| a debt-financed property | | | | | |
| b not debt-financed property | | | | | |
| 98 Net rental income or (loss) from personal property | | | | | |
| 99 Other investment income | | | | | |
| 100 Gain or (loss) from sales of assets other than inventory | | | 18 | 607,581 | |
| 101 Net income or (loss) from special events | | | | | |
| 102 Gross profit or (loss) from sales of inventory | | | | | 5,513,539 |
| 103 Other revenue | | | | | |
| a Miscellaneous Income | 514000 | 543,866 | | | |
| b Interest Income | 900003 | 13,066 | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 104 Subtotal (add columns (B), (D), and (E)) | | 556,932 | | 4,904,152 | 141,146,970 |
| 105 Total (add line 104, columns (B), (D), and (E)) | | | | | 146,608,054 |

Note: Line 105 plus line 1d, Part I, should equal the amount on line 12, Part I

Part VIII Relationship of Activities to the Accomplishment of Exempt Purposes (See Specific Instructions on page 31)

| Line No. | Explain how each activity for which income is reported in column (E) of Part VII contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) |
|----------|--|
| ▼ | See Statement 7 |

Part IX Information Regarding Taxable Subsidiaries and Disregarded Entities (See Specific Instructions on page 31)


| (A) Name, address, and EIN of corporation, partnership, or disregarded entity | (B) Percentage of ownership interest | (C) Nature of activities | (D) Total income | (E) End-of-year assets |
|--|---|-----------------------------|---------------------|---------------------------|
| See Statement 15 | % | | | |
| | % | | | |
| | % | | | |
| | % | | | |


Part X Information Regarding Transfers Associated with Personal Benefit Contracts (See Specific Instructions on page 31)

- (a) Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- (b) Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

Note: If "Yes" to (b), file Form 8870 and Form 4720 (see instructions)

Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. (Important: See General Instruction W on page 14)

Please Sign Here:  Date: 5/14/2002
 Signature of officer: Rick J. Schwieterman Type or print name and title: VP Finance & Human Resources

Paid Preparer's Use Only: Preparer's signature:  Date: 5/7/02
 Firm's name (or yours if self-employed) and address, and ZIP code: Deloitte & Touche LLP, 155 East Broad Street, Columbus, Ohio 43215-3611
 Preparer's SSN or PTIN: 258-74-5044
 Check if self-employed:
 EIN: 13-3891517
 Phone no: 614-221-3611

SCHEDULE A
(Form 990 or 990-EZ)

Organization Exempt Under Section 501(c)(3)

OMB No 1545-0047

(Except Private Foundation) and Section 501(e), 501(f), 501(k),
501(n), or Section 4947(a)(1) Nonexempt Charitable Trust

2000

Department of the Treasury
Internal Revenue Service

Supplementary Information — (See separate instructions.)

▶ **MUST be completed by the above organizations and attached to their Form 990 or 990-EZ**

Name of the organization

Employer identification number

OCIC Online Computer Library Center Inc.

31-0734115

Part I Compensation of the Five Highest Paid Employees Other Than Officers, Directors, and Trustees
(See page 1 of the instructions List each one If there are none, enter "None ")

| (a) Name and address of each employee paid more than \$50,000 | (b) Title and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans & deferred compensation | (e) Expense account and other allowances |
|---|--|------------------|---|--|
| See Statement 16 | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total number of other employees paid over \$50,000 ▶ | 437 | | | |

Part II Compensation of the Five Highest Paid Independent Contractors for Professional Services
(See page 1 of the instructions List each one (whether individuals or firms) If there are none, enter "None ")

| (a) Name and address of each independent contractor paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| See Statement 17 | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services ▶ | 18 | |

Part III Statements About Activities

| | Yes | No |
|--|-----|----|
| 1 During the year, has the organization attempted to influence national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum? If "Yes," enter the total expenses paid or incurred in connection with the lobbying activities ► \$ _____ Organizations that made an election under section 501(h) by filing Form 5768 must complete Part VI-A. Other organizations checking "Yes," must complete Part VI-B AND attach a statement giving a detailed description of the lobbying activities | | X |
| 2a Sale, exchange, or leasing of property? See Form 990, Part IX | N/A | |
| 2b Lending of money or other extension of credit? | | X |
| 2c Furnishing of goods, services, or facilities? See Form 990, Part IX | N/A | |
| 2d Payment of compensation (or payment or reimbursement of expenses if more than \$1,000)? Form 990, Part V | X | |
| 2e Transfer of any part of its income or assets? If the answer to any question is "Yes," attach a detailed statement explaining the transactions | | X |
| 3 Does the organization make grants for scholarships, fellowships, student loans, etc.? | X | |
| 4a Do you have a section 403(b) annuity plan for your employees? | X | |
| b Attach a statement to explain how the organization determines that individuals or organizations receiving grants or loans from it in furtherance of its charitable programs qualify to receive payments (See page 2 of the instructions) Statement 18 | | |

Part IV Reason for Non-Private Foundation Status (See pages 2 through 5 of the instructions)

The organization is not a private foundation because it is (Please check only ONE applicable box.)

- 5 A church, convention of churches, or association of churches Section 170(b)(1)(A)(i)
- 6 A school Section 170(b)(1)(A)(ii) (Also complete Part V, page 5)
- 7 A hospital or a cooperative hospital service organization Section 170(b)(1)(A)(iii)
- 8 A Federal, state, or local government or governmental unit Section 170(b)(1)(A)(v)
- 9 A medical research organization operated in conjunction with a hospital Section 170(b)(1)(A)(iii) Enter the hospital's name, city, and state ► _____
- 10 An organization operated for the benefit of a college or university owned or operated by a governmental unit Section 170(b)(1)(A)(iv) (Also complete the Support Schedule in Part IV-A)
- 11a An organization that normally receives a substantial part of its support from a governmental unit or from the general public Section 170(b)(1)(A)(v) (Also complete the Support Schedule in Part IV-A.)
- 11b A community trust Section 170(b)(1)(A)(vi) (Also complete the Support Schedule in Part IV-A)
- 12 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its charitable, etc., functions — subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See section 509(a)(2) (Also complete the Support Schedule in Part IV-A.)
- 13 An organization that is not controlled by any disqualified persons (other than foundation managers) and supports organizations described in (1) lines 5 through 12 above, or (2) section 501(c)(4), (5), or (6), if they meet the test of section 509(a)(2) (See section 509(a)(3))

Provide the following information about the supported organizations (See page 5 of the instructions)

| (a) Name(s) of supported organization(s) | (b) Line number from above |
|--|----------------------------|
| | |
| | |
| | |

- 14 An organization organized and operated to test for public safety Section 509(a)(4) (See page 5 of the instructions)

Part IV-A Support Schedule (Complete only if you checked a box on line 10, 11, or 12) Use cash method of accounting
 Note. You may use the worksheet in the instructions for converting from the accrual to the cash method of accounting

| Calendar year (or fiscal year beginning in) | (a) 1999 | (b) 1998 | (c) 1997 | (d) 1996 | (e) Total |
|--|---|--------------|--------------|--------------|-------------|
| 15 Gifts, grants, and contributions received (Do not include unusual grants See line 28) | | | | | |
| 16 Membership fees received | | | | | |
| 17 Gross receipts from admissions, merchandise sold or services performed, or furnishing of facilities in any activity that is not a business unrelated to the organization's charitable, etc., purpose | 141,077,948 | 134,883,805 | 125,998,018 | 123,700,240 | 525,660,011 |
| 18 Gross income from interest, dividends, amounts received from payments on securities loans (section 512(a)(5)), rents, royalties, and unrelated business taxable income (less section 511 taxes) from businesses acquired by the organization after June 30, 1975 | 5,046,184 | 3,985,739 | 3,749,811 | 11,302,851 | 24,084,585 |
| 19 Net income from unrelated business activities not included in line 18 | | | | | |
| 20 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf | | | | | |
| 21 The value of services or facilities furnished to the organization by a governmental unit without charge Do not include the value of services or facilities generally furnished to the public without charge | | | | | |
| 22 Other income Attach a schedule Do not include gain or (loss) from sale of capital assets | | | | | |
| 23 Total of lines 15 through 22 | 146,124,132 | 138,869,544 | 129,747,829 | 135,003,091 | 549,744,596 |
| 24 Line 23 minus line 17 | 5,046,184 | 3,985,739 | 3,749,811 | 11,302,851 | 24,084,585 |
| 25 Enter 1% of line 23 | 1,461,241 | 1,388,695 | 1,297,478 | 1,350,031 | |
| 26 Organizations described on lines 10 or 11 | a Enter 2% of amount in column (e), line 24 | | | | 26a |
| b Attach a list (which is not open to public inspection) showing the name of and amount contributed by each person (other than a governmental unit or publicly supported organization) whose total gifts for 1996 through 1999 exceeded the amount shown in line 26a Enter the sum of all these excess amounts | | | | | 26b |
| c Total support for section 509(a)(1) test: Enter line 24, column (e) | | | | | 26c |
| d Add Amounts from column (e) for lines | 18 _____ | 19 _____ | | | 26d |
| | 22 _____ | 26b _____ | | | 26e |
| e Public support (line 26c minus line 26d total) | | | | | 26e |
| f Public support percentage (line 26e (numerator) divided by line 26c (denominator)) | | | | | 26f % |
| 27 Organizations described on line 12 | a For amounts included in lines 15, 16, and 17 that were received from a "disqualified person," attach a list (which is not open to public inspection) to show the name of, and total amounts received in each year from, each "disqualified person." Enter the sum of such amounts for each year | | | | |
| | NONE | | | | |
| | (1999) _____ | (1998) _____ | (1997) _____ | (1996) _____ | |
| b For any amount included in line 17 that was received from a nondisqualified person, attach a list to show the name of, and amount received for each year, that was more than the larger of (1) the amount on line 25 for the year or (2) \$5,000 (Include in the list organizations described in lines 5 through 11, as well as individuals.) After computing the difference between the amount received and the larger amount described in (1) or (2), enter the sum of these differences (the excess amounts) for each year See Statement 19 | (1999) _____ | (1998) _____ | (1997) _____ | (1996) _____ | |
| | 2,212,486 | 2,175,840 | 1,434,817 | 577,798 | |
| c Add Amounts from column (e) for lines | 15 _____ | 16 _____ | | | 27c |
| | 17 525,660,011 | 20 _____ | 21 _____ | | |
| d Add Line 27a total _____ and line 27b total _____ | | | | | 27d |
| e Public support (line 27c total minus line 27d total) | | | | | 27e |
| f Total support for section 509(a)(2) test. Enter amount on line 23, column (e) | | | | | 27f |
| | | | | | 549,744,596 |
| g Public support percentage (line 27e (numerator) divided by line 27f (denominator)) | | | | | 27g % |
| | | | | | 94.45 % |
| h Investment income percentage (line 18, column (e) (numerator) divided by line 27f (denominator)) | | | | | 27h % |
| | | | | | 4.38 % |
| 28 Unusual Grants For an organization described in line 10, 11, or 12 that received any unusual grants during 1996 through 1999, attach a list (which is not open to public inspection) for each year showing the name of the contributor, the date and amount of the grant, and a brief description of the nature of the grant. Do not include these grants in line 15 (See page 5 of the instructions) | | | | | |

Part V Private School Questionnaire (See page 5 of the instructions) NOT APPLICABLE
(To be completed ONLY by schools that checked the box on line 6 in Part IV)

| | Yes | No |
|---|-----|----|
| 29 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | | |
| 30 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | | |
| 31 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe, if "No," please explain (If you need more space, attach a separate statement.) _____ _____ | | |
| 32 Does the organization maintain the following | | |
| a Records indicating the racial composition of the student body, faculty, and administrative staff? | | |
| b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | | |
| c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | | |
| d Copies of all material used by the organization or on its behalf to solicit contributions? If you answered "No" to any of the above, please explain (If you need more space, attach a separate statement.) _____ | | |
| 33 Does the organization discriminate by race in any way with respect to | | |
| a Students' rights or privileges? | | |
| b Admissions policies? | | |
| c Employment of faculty or administrative staff? | | |
| d Scholarships or other financial assistance? | | |
| e Educational policies? | | |
| f Use of facilities? | | |
| g Athletic programs? | | |
| h Other extracurricular activities? If you answered "Yes" to any of the above, please explain (If you need more space, attach a separate statement.) _____ | | |
| 34a Does the organization receive any financial aid or assistance from a governmental agency? | | |
| b Has the organization's right to such aid ever been revoked or suspended? If you answered "Yes" to either 34a or b, please explain using an attached statement. | | |
| 35 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," attach an explanation | | |

Part VI-A Lobbying Expenditures by Electing Public Charities (See page 7 of the instructions)
 (To be completed ONLY by an eligible organization that filed Form 5768)

Check here a if the organization belongs to an affiliated group
 Check here b if you checked "a" above and "limited control" provisions apply

NOT APPLICABLE

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred) | | (a) Affiliated group totals | (b) To be completed for ALL electing organizations |
|--|---|-----------------------------------|---|
| 36 | Total lobbying expenditures to influence public opinion (grassroots lobbying) | 36 | |
| 37 | Total lobbying expenditures to influence a legislative body (direct lobbying) | 37 | |
| 38 | Total lobbying expenditures (add lines 36 and 37) | 38 | |
| 39 | Other exempt purpose expenditures | 39 | |
| 40 | Total exempt purpose expenditures (add lines 38 and 39) | 40 | |
| 41 | Lobbying nontaxable amount. Enter the amount from the following table — | | |
| | If the amount on line 40 is — | | |
| | Not over \$500,000 | | |
| | Over \$500,000 but not over \$1,000,000 | | |
| | Over \$1,000,000 but not over \$1,500,000 | | |
| | Over \$1,500,000 but not over \$17,000,000 | | |
| | Over \$17,000,000 | | |
| | The lobbying nontaxable amount is — | | |
| | 20% of the amount on line 40 | | |
| | \$100,000 plus 15% of the excess over \$500,000 | | |
| | \$175,000 plus 10% of the excess over \$1,000,000 | | |
| | \$225,000 plus 5% of the excess over \$1,500,000 | | |
| | \$1,000,000 | | |
| 42 | Grassroots nontaxable amount (enter 25% of line 41) | 42 | |
| 43 | Subtract line 42 from line 36. Enter -0- if line 42 is more than line 36 | 43 | |
| 44 | Subtract line 41 from line 38. Enter -0- if line 41 is more than line 38 | 44 | |

Caution If there is an amount on either line 43 or line 44, you must file Form 4720

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below
 See the instructions for lines 45 through 50 on page 9 of the instructions)

| Calendar year (or fiscal year beginning in) ▶ | Lobbying Expenditures During 4-Year Averaging Period | | | | |
|--|--|-------------|-------------|-------------|--------------|
| | (a) 2000 | (b) 1999 | (c) 1998 | (d) 1997 | (e) Total |
| 45 | Lobbying nontaxable amount | | | | |
| 46 | Lobbying ceiling amount (150% of line 45(e)) | | | | |
| 47 | Total lobbying expenditures | | | | |
| 48 | Grassroots nontaxable amount | | | | |
| 49 | Grassroots ceiling amount (150% of line 48(e)) | | | | |
| 50 | Grassroots lobbying expenditures | | | | |

Part VI-B Lobbying Activity by Nonelecting Public Charities NOT APPLICABLE
 (For reporting only by organizations that did not complete Part VI-A) (See page 9 of the instructions)

During the year, did the organization attempt to influence national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of

- a Volunteers
- b Paid staff or management (Include compensation in expenses reported on lines c through h.)
- c Media advertisements
- d Mailings to members, legislators, or the public
- e Publications, or published or broadcast statements
- f Grants to other organizations for lobbying purposes
- g Direct contact with legislators, their staffs, government officials, or a legislative body
- h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any other means
- i Total lobbying expenditures (add lines c through h)

| Yes | No | Amount |
|-----|----|--------|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

If "Yes" to any of the above, also attach a statement giving a detailed description of the lobbying activities

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

PART IV-A, SCHEDULE A, FORM 990, LINE 27b

| <u>YEAR</u> | <u>INSTITUTION</u> | <u>LINE 17 AMOUNT</u> | <u>LINE 27(b) AMOUNT</u> |
|-------------|--------------------|---------------------------|------------------------------|
| 1999 | | \$2,486,261 | \$1,025,020 |
| 1999 | | 2,200,000 | 738,759 |
| 1999 | | 1,758,434 | 297,193 |
| 1999 | | 1,542,955 | 81,714 |
| 1999 | | 1,531,041 | 69,800 |
| 1998 | | 2,192,500 | 803,805 |
| 1998 | | 2,089,973 | 701,278 |
| 1998 | | 1,812,361 | 423,666 |
| 1998 | | 1,533,141 | 144,446 |
| 1998 | | 1,465,967 | 77,272 |
| 1998 | | 1,414,068 | 25,373 |
| 1997 | | 2,437,858 | 1,140,380 |
| 1997 | | 1,450,700 | 153,222 |
| 1997 | | 1,383,828 | 86,350 |
| 1997 | | 1,352,343 | 54,865 |
| 1996 | | 1,793,303 | 443,272 |
| 1996 | | 1,484,557 | 134,526 |

OCLC Online Computer Library Center, Inc.
Dublin, Ohio 43017
31-0734115

Gain (Loss) on Disposal of Assets

| Assets Sold To | Sales Price | Cost | Accum Depr | Book Value | Gain (Loss) |
|--------------------------------------|--------------------|------------------|-------------------|-------------------|--------------------|
| C&D Professional Services | \$ 1,000 | \$ 9,427 | \$ 9,427 | \$ -0- | \$ 1,000 |
| Perpetual Technology Inc. | 57,000 | 6,416,736 | 6,416,736 | -0- | 57,000 |
| KCI, Inc | 31,000 | 291,195 | 291,195 | -0- | 31,000 |
| Computer Exchange | 1,000 | 20,492 | 20,492 | -0- | 1,000 |
| Assets Scrapped/Misc | <u>-0-</u> | <u>3,749,262</u> | <u>3,728,888</u> | <u>20,374</u> | <u>(20,374)</u> |
| TOTALS: | \$ 90,000 | \$10,487,112 | \$10,466,738 | \$ 20,374 | \$ 69,626 |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

GROSS SALES & RECEIPTS

| <u>Description</u> | <u>Amount</u> |
|--|---------------------|
| Cataloging Products | 5,919,294 |
| Dewey Decimal Manuals | 1,301,407 |
| Archival Microfilm and Scanning Products | <u>3,626,111</u> |
| TOTAL PAGE 1, PART I, LINE 10A | <u>\$10,846,812</u> |

COST OF GOODS SOLD

| <u>Description</u> | <u>Amount</u> |
|---|--------------------|
| Cost of Offline Cataloging Products | \$685,377 |
| Dewey Decimal Costs | 329,551 |
| Cost of Online Cataloging | 2,024,306 |
| Cost of Microfilm and Scanning Products | <u>2,294,039</u> |
| TOTAL PAGE 1, PART I, LINE 10B | <u>\$5,333,273</u> |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

PART I, LINE 20, OTHER CHANGES IN NET ASSETS

| <u>Description</u> | <u>Amount</u> |
|---|-----------------------|
| Unrealized gain (loss) on marketable securities | \$ (6,117,759) |
| Current year earnings on investment in subsidiary | 130,466 |
| Foreign currency translation adjustment | <u>(617,926)</u> |
| Total other changes in net assets | <u>\$ (6,605,219)</u> |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

Schedule of Grants Made, Part II, Line 22

| Organization Name | Amount |
|--|-------------------------|
| Action for Children | \$ 1,000 |
| American Library Association (Library & Information Technology Association) ¹ | 10,500 |
| Marcia Bates ² | 2,000 |
| BioOne | 200,000 |
| Building Responsibility Equality and Dignity Organization (BREAD) | 1,000 |
| Business Technology Center | 5,000 |
| Columbus Museum of Art | 500 |
| Columbus Symphony | 3,000 |
| Directions for Youth | 150 |
| Dublin – Worthington Rotary Scholarship Program | 500 |
| Georgia Institute of Technology | 500 |
| Norman Horrocks ³ | 1,000 |
| International Federation of Library Associations and Institutions | 20,000 |
| Life Care Alliance | 500 |
| National Conference on Asian Pacific American Librarians | 1,500 |
| Opera Columbus | 1,500 |
| Queens Library Foundation | 2,500 |
| Salvation Army | 500 |
| Indiana University ⁴ | 2,000 |
| Tsinghua University | 2,500 |
| United Negro College | 2,200 |
| United Way of Franklin County | 15,000 |
| University of South Florida ⁵ | 10,000 |
| University of Georgia | 500 |
| University of Pittsburgh ⁵ | 10,000 |
| University of Richmond | 500 |
| University of Wisconsin ⁵ | 5,805 |
| Robert Wedgeworth ³ | 1,000 |
| Lynn Wiley ⁶ | 2,000 |
| Miscellaneous | 8,288 |
| Total | <u>\$311,443</u> |

¹ OCLC Minority Scholarship program (three year funding)

² Kilgour Award for Research

³ John Ames Humphry Award

⁴ Margaret Mann Citation Award (recognizing Brian Schottlaender)

⁵ Library and Information Science Research Grant

⁶ Virginia Boucher Distinguished ILL Librarian Award

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

DEPRECIATION AND AMORTIZATION EXPENSES

| <u>Description</u> | <u>Expense</u> | <u>Method</u> | <u>Life</u> |
|-----------------------------|---------------------|--------------------|-------------|
| <u>DEPRECIATION</u> | | | |
| Buildings & Improvements | \$ 1,969,282 | SL | 3-40 |
| Motor Vehicles | 17,206 | SL | 3 |
| Computer & Telecom Equip | 5,247,126 | SL/DDB | 3-15 |
| Furniture & Other Equipment | 2,001,947 | SL | 3-10 |
| <u>AMORTIZATION</u> | | | |
| Software | \$319,321 | SL | 3-15 |
| Capitalized Database | 2,240,668 | SL | 5-15 |
| Deferred Financing | <u>111,583</u> | Effective Interest | Bond Terms |
| Total | \$11,907,133 | | |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

OTHER EXPENSES - PART II, LINE 43B

| Description | Amount |
|----------------------------------|---------------------|
| Services Purchased | \$6,705,157 |
| Library Expenses | 188,545 |
| Employee Training & Development | 1,685,325 |
| Bank Fees | 73,423 |
| Insurance | 415,182 |
| Foreign Exchange (Gain) Loss | 225,308 |
| Equipment Expense | 26,781 |
| Employee Recruiting & Relocation | 747,261 |
| Other Administrative | 663,496 |
| Business Continuity Costs | 565,991 |
| Royalty Expense | 15,032,806 |
| Telecommunication Costs | 2,123,057 |
| Dues & Subscriptions | 163,735 |
| Marketing Costs | 5,499,087 |
| Outside Database Costs | 269,975 |
| Purchased Software | 230,275 |
| Other Program Costs | <u>527,722</u> |
| Total | \$35,143,126 |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

STATEMENT OF ACTIVITIES AND ACCOMPLISHMENTS
Form 990, Part III and Part VIII

OCLC is a non-profit library service and research organization which was organized and founded by the Presidents of Ohio Colleges and Universities. OCLC operates an international computer network and database that more than 40,000 libraries use to catalog books and other materials [Part VII, Line 93], order custom printed catalog cards and machine-readable records for local electronic catalogs [Part VII, Line 102], arrange inter-library sharing of materials (books, periodicals) [Part VII, Line 93], and maintain location information on library materials [Part VII, Lines 93]. OCLC facilitates access to these functions by maintaining a telecommunications network which libraries use to access OCLC's database over phone lines from their locations and also by allowing access over the Internet [Part VII, Line 93]. OCLC also provides access to proprietary and third party information databases to support library reference services [Part VII, Line 93]. In addition, OCLC supports libraries by publishing authoritative books on the Dewey Decimal system of library classification and by providing high-quality archiving for libraries using both traditional microfilm technology as well as digital scanning technology [Part VII, Line 102]. All products and services are sold for set charges paid by the libraries or by library network organizations serving as distribution channels.

All the above-named activities of OCLC serve to accomplish the nonprofit purposes for which the Presidents of Ohio Colleges established OCLC - namely, to stop the rise of library costs and to further ease of access to, and use of, the expanding body of scientific and educational knowledge and information located in libraries.

Accomplishments during the year are illustrated by the following statistics:

| Activity Description | Quantity |
|--|-----------------|
| Cataloging records added to database | 2.6 million |
| Location listings added to database | 35 million |
| Catalog cards produced | 7.8 million |
| Online interlibrary loans | 8.7 million |
| Catalog records generated for subscribers | 18 million |
| Reference search transactions (FirstSearch) | 80 million |
| Dewey Decimal Classification - Edition 21 | 1,600 |
| Dewey Decimal Classification - Abridged Edition 13 | 3,600 |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

SUMMARY OF INVESTMENTS - PART IV, LINE 54

| <u>Investment Category</u> | <u>Amount</u> |
|-----------------------------------|----------------------|
| Government Obligations | \$16,309,300 |
| Corporate Notes & Bonds | 15,470,400 |
| Common Stocks | <u>64,856,215</u> |
| Total Investments | <u>\$ 96,635,915</u> |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

SCHEDULE OF FIXED ASSETS - Part IV, LINE 57

| Description | Cost | Accum Depr | Book Value |
|------------------------------|-------------------|-------------------|-------------------|
| Land | \$ 8,584,329 | \$ -0- | \$ 8,584,329 |
| Buildings & Improvements | 51,874,066 | 22,456,136 | 29,417,930 |
| Motor Vehicles | 227,181 | 203,509 | 23,672 |
| Computer & Telecom Equipment | 26,910,512 | 19,948,012 | 6,962,500 |
| Furniture & Equipment | 17,954,252 | 13,285,561 | 4,668,691 |
| Software | 10,814,236 | 5,397,845 | 5,416,391 |
| Capitalized Database | <u>33,268,723</u> | <u>13,992,164</u> | <u>19,276,559</u> |
| Total | \$149,633,299 | \$ 75,283,227 | \$ 74,350,072 |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

OTHER ASSETS - PART IV, LINE 58

| Description | Amount |
|----------------------------------|---------------------|
| Bond Proceeds – Trustee Accounts | \$7,261,373 |
| Other Assets | 5,962,076 |
| Investment in Affiliates | <u>8,387,962</u> |
| Total Other Assets | \$21,611,411 |

OTHER LIABILITIES - PART IV, LINE 65

| Description | Amount |
|--------------------------------|---------------------|
| Deferred Revenues | \$26,769,795 |
| Deferred Retirement | 1,503,553 |
| Capitalized Leases | 927,922 |
| Post Retirement Benefits | 9,009,270 |
| Members Subscription Balances | 6,477,358 |
| Other Liabilities | <u>3,601,956</u> |
| Total Other Liabilities | \$48,289,854 |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

TAX EXEMPT BOND INFORMATION, PART IV, LINE 64A

| | Franklin County Revenue Bonds Series 1991 | Franklin County Revenue Bonds Series 1993 | Franklin County Revenue Bonds Series 1998 |
|--------------------------------|---|---|---|
| Issue Date | May 1, 1991 | April 15, 1993 | December 1, 1998 |
| Purpose | To acquire land and buildings, to construct, improve and equip the buildings, and to acquire computer, telecommunications and other equipment | To purchase mainframe computers, related peripherals and bibliographic and other information databases and to pay the costs and expenses of issuing the Series 1993 Bonds | To purchase improvements and renovations to real property, office systems and equipment, mainframe computers, servers and related peripherals and bibliographic and other information databases and also to advance refund and defease a portion of the Series 1991 Bonds |
| Form 8038 Filing Date | May 30, 1991 | May 7, 1993 | December 28, 1998 |
| Project Completion Date | At issuance | April 3, 1996 | In Progress |
| Third Party Use | None | None | None |
| Original Issuance | \$15,000,000 | \$25,000,000 | \$32,515,000 |
| Outstanding Balance | \$1,080,000 | \$19,240,000 | \$32,285,000 |
| Unexpended Funds | None | None | \$7,259,100 |

Total Outstanding Balance \$52,605,000

OCLC ONLINE COMPUTER LIBRARY CENTER, INC.
DUBLIN, OHIO 43017

| NAME AND ADDRESS | TITLE & TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTION TO BENEFIT PLAN & DEFERRED COMP | OTHER ALLOWANCES |
|--|---|--------------|--|------------------|
| Robert L. Jordan, Jr * 6565 Frantz Road Dublin, OH 43017 | President and CEO More than 40 hrs/week | \$260,000 | \$40,387 | \$11,890 |
| Donald Muccino * 6565 Frantz Road Dublin, OH 43017 | Executive VP & COO More than 40 hrs/week | 282,381 | 19,513 | |
| Rick J. Schwieterman * 6565 Frantz Road Dublin, OH 43017 | VP - Finance and Human Resources More than 40 hrs/week | 270,751 | 25,091 | |
| Phyllis Bova Spies * 6565 Frantz Road Dublin, OH 43017 | VP - Worldwide Library Services More than 40 hrs/week | 250,048 | 24,545 | |

OC LC ONLINE COMPUTER LIBRARY CENTER, INC.
DUBLIN, OHIO 43017

| NAME AND ADDRESS | TITLE & TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTION TO BENEFIT PLAN & DEFERRED COMP | OTHER ALLOWANCES |
|-------------------|---|--------------|--|------------------|
| Gary R Houk * | VP - Metadata and Content Management Services | 195,847 | 20,246 | |
| 6565 Frantz Road | | | | |
| Dublin, OH 43017 | More than 40 hrs/week | | | |
| James Houfek * | VP & General Counsel | 156,416 | 18,787 | |
| 6565 Frantz Road | | | | |
| Dublin, OH 43017 | More than 40 hrs/week | | | |
| Frank Hermes * | VP - Cooperative Discovery Services | 182,572 | 6,619 | 1,200 |
| 6565 Frantz Road | | | | |
| Dublin, OH 43017 | More than 40 hrs/week | | | |
| Alfred C Lauber * | VP - Information Technology | 181,685 | 21,750 | |
| 6565 Frantz Road | | | | |
| Dublin, OH 43017 | More than 40 hrs/week | | | |

OCLC ONLINE COMPUTER LIBRARY CENTER, INC.
DUBLIN, OHIO 43017

| NAME AND ADDRESS | TITLE & TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTION TO BENEFIT PLAN & DEFERRED COMP | OTHER ALLOWANCES |
|--|--|--------------------|--|------------------|
| Terry Noreault * 6565 Frantz Road Dublin, OH 43017 | VP - Office of Research More than 40 hrs/week | 124,800 | 3,117 | |
| George Needham * 6565 Frantz Road Dublin, OH 43017 | VP - Member Services More than 40 hrs/week | 124,492 | 7,681 | |
| Total Compensation | | <u>\$2,028,992</u> | | |

Note on Management Savings Plan

Effective July 1, 1997, certain employees and trustees elected to participate in the OCLC Management Savings Plan, a non-qualified incentive benefit program for certain employees and trustees who have contributed to the success of OCLC. Employees participating this fiscal year are noted above with an asterisk (*). Under the Plan, participants receive the right to purchase mutual fund shares for a fixed price. Such right can, generally, be exercised at any time within a 20-year period following the date of grant. However, in the case of a participant's death, rights held by such participant must be exercised within six months from the date of death, and in the case of termination of employment (except for retirement) rights held by the terminated participant must be exercised within six months of the date of termination (unless extended by the President). Compensation income attributable to rights granted under the Plan, for tax reporting purposes, is neither determinable at the time of grant thereof, nor at OCLC's fiscal year end, if still outstanding. More specifically, it is only upon exercise of one of these rights that a participant must recognize compensation income, for tax reporting purposes.

During the fiscal year that is the subject of this information return, two of the individuals listed above have exercised some of the rights granted under the Plan. Taxable compensation resulting from such exercise has been included as part of the compensation figures reported above. Since no other compensation events from participation in the Plan occurred by this year-end, no other income is reflected in the schedule provided above, with respect to those individuals listed therein who are participants in the Plan. Any future compensation amounts ultimately realized from exercise of rights granted under the Plan, will be appropriately disclosed as compensation in OCLC's information return for the year of exercise.

**OCLC ONLINE COMPUTER LIBRARY CENTER INC.
 DUBLIN, OHIO 43017**

LIST OF TRUSTEES

| <u>NAME AND ADDRESS</u> | <u>TITLE & TIME DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTION TO EMPLOYEE BENEFIT PLAN</u> | <u>EXPENSE ACCOUNT</u> |
|--|---|---------------------|--|----------------------------|
| William Crowe * Spencer Librarian Kenneth Spencer Research Lib University of Kansas 1450 Poplar Lane Lawrence, KS 66045-7616 | Trustee 10 hrs/wk | \$ 45,405 | | |
| Edward E David, Jr * President EED, Inc Box 435 Bedminster, NJ 07921 | Trustee 5 hrs/wk | 10,221 | | |
| Christine Deschamps Presidente de l'IFLA Bibliotheque de l'Universite Rene Descartes Paris V 49 rue des Saints Péres 75005 Paris (France) | Trustee 5 hrs/wk | 39,000 | | |
| Jane N Ryland Membership Consultant Internet2 1959 Timber Lane Boulder, CO 80304 | Trustee 5 hrs/wk | 20,398 | | |
| Nancy Eaton Dean of University Libraries Penn State University E510 Paterno Library University Park, PA 16802 | Trustee 5 hrs/wk | 36,298 | | |
| Maurice Glicksman * Box D Brown University Div of Engineering Providence, RI 02912 | Trustee 5 hrs/wk | 16,650 | | |

**OCLC ONLINE COMPUTER LIBRARY CENTER INC.
DUBLIN, OHIO 43017**

LIST OF TRUSTEES

| <u>NAME AND ADDRESS</u> | <u>TITLE & TIME DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTION TO EMPLOYEE BENEFIT PLAN</u> | <u>EXPENSE ACCOUNT</u> |
|--|---|---------------------|--|------------------------|
| Barbara Gubbin, Director * Houston Public Library 500 McKinney Avenue Houston TX 77002-2534 | Trustee 5 hrs/wk | -0- | | |
| Victoria Hanawalt, * College Librarian Hauser Library, Reed College 3203 SE Woodstock Blvd Portland OR 97202 | Trustee 5 hrs/wk | -0- | | |
| Myer Kutz Myer Kutz Associates, Inc 90 State St , Suite 803 Albany, NY 12207 | Trustee 5 hrs/wk | 31,050 | | |
| David P Lauer 6565 Frantz Road Dublin, OH 43017 | Trustee 5 hrs/wk | 28,948 | | |
| Bradley E Baker University Librarian Northeastern Illinois University 5500 N St Louis Avenue Chicago, IL 60625 | Trustee 5 hrs/wk | 22,398 | | |
| Ralph K Frasier *, Of Counsel Porter, Wright, Morris & Arthur LLP 3100 Huntington Center 41 South High Street Columbus, OH 43215-6194 | Trustee 5 hrs/wk | -0- | | |

**OCLC ONLINE COMPUTER LIBRARY CENTER INC.
DUBLIN, OHIO 43017**

LIST OF TRUSTEE

| <u>NAME AND ADDRESS</u> | <u>TITLE & TIME DEVOTED TO POSITION</u> | <u>COMPENSATION</u> | <u>CONTRIBUTION TO EMPLOYEE BENEFIT PLAN</u> | <u>EXPENSE ACCOUNT</u> |
|---|---|---------------------|--|----------------------------|
| Thomas W Shaughnessy * University Librarian Wilson Library Central Admin Service University of Minnesota Minneapolis, MN 55455-0414 | Trustee 5 hrs/wk | -0- | | |
| Lizabeth A Wilson * Director of Libraries University of Washington Allen Library, Box 352900 Seattle, WA 98196-2900 | Trustee 5 hrs wk | -0- | | |
| Sharon J Rogers * 6565 Frantz Road Dublin, OH 43017 | Trustee 5 hrs/wk | 24,973 | | |
| Ellen J Waite-Franzen Associate Provost, Info Services University Computing Jepson Hall, Room G-11 28 Westhampton Way University of Richmond Richmond, VA 23173 | Trustee 5 hrs/wk | 13,500 | | |
| Robert L Jordan, Jr President and CEO OCLC, Online Computer Library Center, Inc 6565 Frantz Road Dublin, OH 43017 | Trustee 5 hrs/wk | -0- | | |
| Total Trustee Fees (Statement 13, Page 1 through 3) | | | <u>\$288,841</u> | |

**OCLC ONLINE COMPUTER LIBRARY CENTER INC.
DUBLIN, OHIO 43017**

Note on Management Savings Plan

Effective July 1, 1997, certain employees and trustees elected to participate in the OCLC Management Savings Plan, a non-qualified incentive benefit program for certain employees and trustees who have contributed to the success of OCLC. Trustees participating this fiscal year are noted above with an asterisk (*). Under the Plan, participants receive the right to purchase mutual fund shares for a fixed price. Such right can, generally, be exercised at any time within a 20-year period following the date of grant. However, in the case of a participant's death, rights held by such participant must be exercised within six months from the date of death. Compensation income attributable to rights granted under the Plan, for tax reporting purposes, is neither determinable at the time of grant thereof, nor at OCLC's fiscal year end, if still outstanding. More specifically, it is only upon exercise of one of these rights that a participant must recognize compensation income, for tax reporting purposes.

During the fiscal year that is the subject of this information return, one of the individuals listed above has exercised some of the rights granted under the Plan. Taxable compensation resulting from such exercise has been included as part of the compensation figures reported above. Since no other compensation events from participation in the Plan occurred by this year-end, no other income is reflected in the schedule provided above, with respect to those individuals listed therein who are participants in the Plan. Any future compensation amounts ultimately realized from exercise of rights granted under the Plan, will be appropriately disclosed as compensation in OCLC's information return for the year of exercise.

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

PROGRAM SERVICE REVENUE - PART VII, LINE 93

| <u>DESCRIPTION</u> | <u>RELATED INCOME COLUMN E</u> |
|--------------------------------------|------------------------------------|
| Current Cataloging | \$46,128,017 |
| Access Services | 18,750,708 |
| Retrospective Conversion | 14,589,692 |
| Resource Sharing | 15,055,976 |
| Reference Services | 38,234,497 |
| SiteSearch Revenue | 2,003,084 |
| Other Program Revenue | <u>871,457</u> |
| <u>Total Program Service Revenue</u> | <u>\$135,633,431</u> |

OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115

TAXABLE SUBSIDIARIES, FORM 990, PART IX

| Company | Ownership Percent | Business Activity | Income (Loss) | Total Assets |
|--|------------------------------|------------------------------|----------------------|---------------------|
| OCLC Educational Services Inc 6565 Frantz Road Dublin OH 43017 FEIN 31-1246465 | 100 | Holding Company | \$(36,066) | \$1,623,046 |
| OCLC Information Distribution, Inc (formerly OID, Inc) 6565 Frantz Road Dublin OH 43017 FEIN 87-0374788 | 100 | Information Services | \$223 | \$496,548 |
| Pica B V ¹ Schipholweg 99 P O Box 876 2300 AW Leiden The Netherlands | 60 | Information Services | \$408,020 | \$18,409,715 |

¹ Pica B V is a Netherlands corporation not subject to US taxes. It does not have a Federal identification number. The income and balance sheet information comes from the Form 5471 filed for this entity for calendar year 2000.

OCLC ONLINE COMPUTER LIBRARY CENTER, INC.
DUBLIN, OHIO 43017

COMPENSATION OF FIVE HIGHEST PAID EMPLOYEES OTHER THAN OFFICERS

| NAME AND ADDRESS | TITLE & TIME DEVOTED TO POSITION | COMPENSATION | CONTRIBUTION TO BENEFIT PLAN & DEFERRED COMP | OTHER ALLOWANCES |
|--|--|--------------|--|------------------|
| K Wayne Smith * | President Emeritus | \$187,000 | \$37,289 | \$101,000 |
| 6565 Frantz Road Dublin, OH 43017 | Part Time | | | |
| Meg Bellinger | President, Preservation Resources Division | 156,614 | 17,391 | |
| Nine South Commerce Way Bethlehem, PA 18017 | Full Time | | | |
| Nancy London | Exec Director - Library Services for America | 199,092 | 20,751 | |
| 6565 Frantz Road Dublin, OH 43017 | Full Time | | | |
| Andrew Wang | Exec Director - Asia Pacific Services | 182,300 | 22,015 | |
| 6565 Frantz Road Dublin, OH 43017 | Full Time | | | |
| Thomas Hickey | Chief Scientist | 165,120 | 21,345 | |
| 6565 Frantz Road Dublin, OH 43017 | Full Time | | | |

* Participant in the OCLC Management Savings Plan See note on Statement 12

**OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115**

**COMPENSATION OF FIVE HIGHEST PAID INDEPENDENT CONTRACTORS FOR
PROFESSIONAL SERVICES**

| <u>NAME AND ADDRESS</u> | <u>TYPE OF SERVICE</u> | <u>COMPENSATION</u> |
|---|------------------------------------|---------------------|
| Arthur Andersen, LLP 21647 Network Place Chicago, IL 60673-1647 | Consulting Services | \$3,209,017 |
| The Ohio State University Fisher College of Business Pfahl Hall 280 W. Woodruff Ave Columbus, OH 43210-1144 | Consulting/Educational Services | 394,605 |
| Livingston & Associates PO Box 211 Milan, OH 44846 | Educational Services | 373,569 |
| Arthur D Little, Inc Acorn Park Cambridge, MA 02140-2390 | Consulting Services | 260,000 |
| Deloitte & Touche LLP 155 East Broad Street Columbus, OH 43215 | Accounting Services | 223,551 |

**OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115**

DESCRIPTION OF GRANT AND SCHOLARSHIP PROGRAMS

Library and Information Science Research Grant Program (LISRG)

Faculty in schools of library science are eligible to apply OCLC sends out an annual call for proposals to eligible schools Applicants complete an LISRG cover page together with a research proposal, a detailed budget and supporting materials such as curricula vitae, staffing and letters of support Proposals are reviewed by selected OCLC staff and then submitted to a review panel for further evaluation and recommendation to the Vice President of the OCLC Office of Research who makes a final decision Research results are furnished to OCLC in a final project report which must be nonproprietary and in the public domain

Minority Scholarship Program of OCLC and the Library and Information Technology Association (LITA) of the American Library Association

Minority applicants pursuing graduate degrees in library/information science are eligible to apply A LITA scholarship committee reviews the applications and rates them on the dimensions of academic excellence, leadership potential, commitment to library automation and overall presentation OCLC funding for the scholarship is provided to LITA for presentation to the recipient at the annual meeting of the American Library Association

Margaret Mann Citation Program of OCLC and the Association for Library Collections and Technical Services (ALCTS) of the American Library Association

The Margaret Mann Citation Committee of ALCTS solicits nominations for the citation to be presented to a professional librarian who has made significant contributions to cataloging and to the library profession When a recipient is chosen, a monetary award is made to the library school of the recipient's choice OCLC funds the award and presentation is made at the annual meeting of the American Library Association

**OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115**

DESCRIPTION OF GRANT AND SCHOLARSHIP PROGRAMS

The John Ames Humphry Award of OCLC's Forest Press Division and the American Library Association

The International Relations Committee of the American Library Association solicits nominations for the award to be presented to a professional who has made significant contributions in the field of international librarianship with primary consideration given to contributions in the field of classification and subject analysis, and to work in Third World countries. When the committee names the recipient, OCLC presents a certificate and a cash award to the individual chosen.

The Frederick G. Kilgour Award for Research in Library and Information Technology

The Research Committee of the Library and Information Technology Association (LITA) of the American Library Association solicits nominations from members of the American Library Association for the award to be presented to an individual whose research and work in the development of innovative information technologies shows promise of having a substantive impact on the publication, storage, retrieval and dissemination of information. Important criteria in the selection include the solution of an important problem in the provision of information resources and its relevance for libraries. The recipient is chosen by a committee appointed by the Vice President of LITA and the funding for the award is supplied by OCLC.

**OCLC Online Computer Library Center Inc.
Dublin, Ohio 43017
31-0734115**

DESCRIPTION OF GRANT AND SCHOLARSHIP PROGRAMS

The OCLC/CLA Award for Promoting Technology in Libraries

The Canadian Library Association solicits nominations and applications for the award which is bestowed on a recent library graduate who is involved in researching, developing and/or implementing new information technologies. Special consideration is given to nominations for distinguished activities which have promise of having a positive impact on the publication, storage, retrieval, and dissemination of information. Applicants or nominees must be members of the Canadian Library Association and a recent graduate of an accredited library school. The winner is chosen by a three member jury appointed by the Canadian Library Association. OCLC funds the award and presentation is made at the Canadian Library Association Annual Conference.

The Virginia Boucher-OCLC Distinguished ILL Librarian Award

The Reference and User Services Division of the American Library Association solicits nominations for the award to be presented to a library professional who has made significant contributions in the field of Interlibrary Loans. The jury (consisting of members appointed by the American Library Association) considers such factors as publication of significant professional literature, participation in professional associations and innovative approaches to ILL in libraries. OCLC funds the award and the certificate and cash award are presented to the individual selected at the annual meeting of the American Library Association.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545 1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Note** Do not complete **Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I Automatic 3-Month Extension of Time—Only submit original (no copies needed)
Note Form 990-T corporations requesting an automatic 6-month extension—check this box and complete Part I only
 All other corporations (including Form 990-C filers) must use Form 7004 to request an extension of time to file income tax returns. Partnerships, REMICs and trusts must use Form 8736 to request an extension of time to file Form 1065, 1066, or 1041

| | | |
|---|---|---|
| Type or print | Name of Exempt Organization OCLC Online Computer Library Center Inc. | Employer identification number 31 0734115 |
| File by the due date for filing your return. See instructions | Number, street, and room or suite no. If a P O box see instructions 6565 Frantz Road | |
| | City, town or post office, state, and ZIP code For a foreign address see instructions Dublin OH 43017 | |

Check type of return to be filed (file a separate application for each return)

- | | | |
|--|--|------------------------------------|
| <input checked="" type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

- If the organization does **not** have an office or place of business in the United States, check this box
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the **whole** group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-month, for 990-T corporation) extension of time until **February 15**, 20 **02** to file the exempt organization return for the organization named above. The extension is for the organization's return for
 ▶ calendar year 20... or
 ▶ tax year beginning **July 1**, 20 **00**, and ending **June 30**, 20 **01**

2 If this tax year is for less than 12 months, check reason: Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions \$ _____

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit \$ _____

c **Balance Due** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions \$ _____

Signature and Verification

Under penalties of perjury I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete and that I am authorized to prepare this form.

Signature ▶ Sondra Kowaluk Title ▶ **Sondra Kowaluk, Controller** Date ▶ 11/7/01

- If you are filing for an **Additional (not automatic) 3-Month Extension**, complete only Part II and check this box
- Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed return.**
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (not automatic) 3-Month Extension of Time — Must File Original and One Copy.

| | | |
|---|--|---|
| Type or print File by the extended due date for filing the return See instructions | Name of Exempt Organization OCLC Online Computer Library Center Inc. | Employer identification number 31-0734115 |
| | Number, street, and room or suite no. If a P.O. box, see instructions 6565 Frantz Road | For IRS use only |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions Dublin OH 43017 | |

Check type of return to be filed (File a separate application for each return).

- Form 990
 Form 990-EZ
 Form 990-T (sec. 401(a) or 408(a) trust)
 Form 1041-A
 Form 5227
 Form 8870
 Form 990-BL
 Form 990-PF
 Form 990-T (trust other than above)
 Form 4720
 Form 6069

STOP: Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a **Group Return**, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until May 15, 20 02
- 5 For calendar year _____, or other tax year beginning July 1, 20 00 and ending June 30, 20 01
- 6 If this tax year is for less than 12 months, check reason Initial return Final return Change in accounting period
- 7 State in detail why you need the extension _____

Information necessary to file a complete and accurate return is not yet available

- 8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions \$ _____
- b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 \$ _____
- c **Balance Due** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions \$ _____

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Sondra Kowaluk Title Controller Date 2/6/02

Notice to Applicant — To Be Completed by the IRS

- We have approved this application Please attach this form to the organization's return
- We have not approved this application However, we have granted a 10-day grace period from the later of the date shown below or the due date of the organization's return (including any prior extensions) This grace period is considered to be a valid extension of time for elections otherwise required to be made on a timely return Please attach this form to the organization's return
- We have not approved this application After considering the reasons stated in item 7, we cannot grant your request for an extension of time to file. We are not granting a 10-day grace period
- We cannot consider this application because it was filed after the due date of the return for which an extension was requested
- Other _____

EXTENSION APPROVED
FEB 8 2002
DIRECTOR OF FIELD SERVICE
COMMUNICATIONS CENTER

Director _____ By _____ Date _____

Alternate Mailing Address — Enter the address if you want the copy of this application for an additional 3-month extension returned to an address different than the one entered above.

| | |
|---------------|---|
| Type or print | Name |
| | Number and street (include suite, room, or apt. no.) Or a P.O. box number |
| | City or town, province or state, and country (including postal or ZIP code) |